

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application: Marina V. Backer and Joseph M. Backer
Serial No. :
Filed :
For : MOLECULAR DELIVERY VEHICLE FOR DELIVERY
OF SELECTED COMPOUNDS TO TARGETS
Examiner :
Attorney Docket : 102131-200
Group Art Unit :

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231 on 1 June 2001.

By Todd E. Garabedian
Todd E. Garabedian, Ph.D.
Registration No. 39,197
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.56, the Examiner is respectfully
requested to consider the references cited on attached Form PTO-
1449. A copy of each reference (if not previously submitted) is
enclosed. It is respectfully requested that the information be
expressly considered during the prosecution of this application,
and that the reference(s) be made of record therein and appear
among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed
within three months of the U.S. filing date OR before the
mailing date of a first Office Action on the merits. No
certification or fee is required.

- [] 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- [] a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).
- [] b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).
- [] Please charge Deposit Account No. 23-1665 in the amount of \$240.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- [] A check in the amount of \$240.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).
- [] 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- [] a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

- [] b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- [] Please charge Deposit Account No. 23-1665 in the amount of \$130.00 in payment of the fee under 37 C.F.R. §1.17(i)(1). Two additional copies of this Information Disclosure Statement are enclosed.
- [] A check in the amount of \$130.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(i)(1).

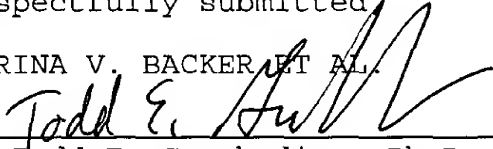
The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted

MARINA V. BACKER ET AL.

By


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Date: June 1, 2001

PATENT

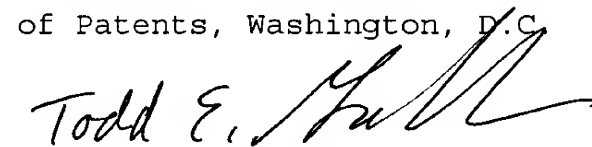
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By



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Attorney for Applicant(s)

* * * * *

INFORMATION DISCLOSURE LETTER

Commissioner of Patents
Washington, D.C. 20231

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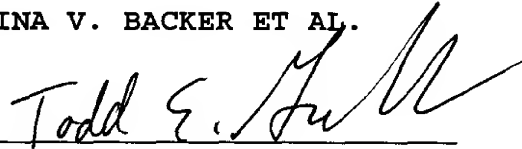
1. U.S. Patent No. 5,492,840 issued February 20, 1996 to Malmqvist et al.
2. U.S. Patent No. 5,661,025 issued August 26, 1997 to Szoka, Jr. et al.
3. U.S. Patent No. 5,766,899 issued June 16, 1998 to Kuo et al.
4. U.S. Patent No. 5,792,645 issued August 11, 1998 to Beug et al.
5. U.S. Patent No. 5,837,533 issued November 17, 1998 to Raymond H. Boutin.
6. U.S. Patent No. 5,853,744 issued December 29, 1998 to Mooradian et al.
7. U.S. Patent No. 5,858,743 issued January 12, 1999 to Russell et al.
8. U.S. Patent No. 5,874,297 issued February 23, 1999 to Wu et al.
9. U.S. Patent No. 5,972,901 issued October 26, 1999 to Ferkol, Jr. et al.
10. U.S. Patent No. 5,998,192 issued December 7, 1999 to Russell et al.
11. U.S. Patent No. 6,033,719 issued March 7, 2000 to James R. Keough.
12. U.S. Patent No. 6,056,973 issued May 2, 2000 to Allen et al.

If the Examiner has any questions or feels that a discussion with Applicants' representative would expedite prosecution, the Examiner is invited and encouraged to contact Applicants' undersigned representative at the telephone number listed below.

Respectfully submitted,

MARINA V. BACKER ET AL.

By


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Date: 1 June 2001